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stitute Form PTO 449	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 06868-005002	Application No. 08/984,900
Information Di	sclosure Statement Applicant	Applicant Anthony J.F. D'Apice	et al.
	sheets if necessary)	Filing Date December 4, 1997	Group Art Unit 1633

(37 CFR §1.98(b))

			U.S. Patent	Documents			
Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA						
	AB						
,	AC						TE CH
	AD						
	AE						CLIA
	AF						T '
	AG						ç'.
	AH						C.
	AI						2900
	AJ						
	AK						

	Foreign Patent Documents or Published Foreign Patent Applications							
Examiner	Desig.	Document	Publication	Country or			Trans	lation
Initial	ID	Number	Date	Patent Office	Class	Subclass	Yes	No
	AL							:
	AM							
	AN							
	AO							
	AP							

	Other D	ocuments (include Author, Title, Da	te, and Place of Publication)			
Examiner	Desig.					
Initial	ID		cument			
4 v-	AQ	Abstracts from the 2nd International Congress on Xenotransplantation, Cambridge, England, Sept. 26-29, 1993				
-7	AR	Abstracts from 11th Meeting of Transplant Society of Australia and New Zealand, Australia, May 5-7, 1993				
	AS	Statutory Declaration of Nicholas J. Deacon from Victoria, Australia (11/30/00) inluding an exhibit listed immediately below:				
		Exhibit NJD-1	Curriculum Vitae of Nicholas J. Deacon			
	AT	Statutory Declaration of Joseph A. Trapani from Victoria, Australia (11/20/00) including an exhibit listed immediately below:				

Examiner Signature	Date Considered
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EXAMINER: Initials citation considered. Draw line through citation to applicant.	ation if not in conformance and not considered. Include copy of this form with
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U.S. Department of Commerce Patent and Trademark Office

Attorney's Docket No. 06868-005002

Application No. 08/984,900

Sheet 2

Information Disclosure Statement **by Applicant** (Use several sheets if necessary)

Applicant Anthony J.F. D'Apice et al.

Group Art Unit

Filing Date December 4, 1997

1633

(37 CFR §1.98(b))

next communication to applicant.

Other Documents (include Author, Title, Date, and Place of Publication)						
Examiner Desig.						
Initial	ID_	Exhibit JAT-1	Curriculum Vitae of Joseph A. Trapani			
	 	Statutory Declaration of Mauro S. Sandrin from	Victoria, Australia (7/6/00) including exhibits listed			
		immediately below	Tyletoria, raditalia (170700) metading evaluous incre			
		Exhibit MSS-1	Curriculum Vitae of Mauro S. Sandrin			
			Flow chart of work leading up to ARI's			
	AU	Exhibit MSS-2	Invention			
		Exhibit MSS-3	Copy of electronic file pPGT (Sequence Listing)			
		Exhibit MSS-4	Copy of gal sequence			
		Exhibit MSS-5	Copy of Figure 5			
		Exhibit MSS-6	Table Conservative Amino Acid Distributions			
	4.7.7	1	Victoria, Australia (11/3/00) including an exhibit			
	AV	listed immediately below:	Sequence Listing			
		Exhibit MSS-7 Statutory Declaration of Susan Sau Heng Wong	r from Victoria Australia (11/3/00) including			
		exhibits listed immediately below:	s nom victoria, Australia (1715/00) meruding			
	AW	SSHW-1	Curriculum Vitae of Susan S. H. Wong			
	AW		Chapter 3 of the Australian Patent Office			
		SSHW-2	Manual of Practice and Procedure			
	AX	Statutory Declaration of Carol E. Scott from Ne	ew South Wales, Australia (6/23/00)			
,, <u>, , , , , , , , , , , , , , , , , ,</u>	AY	Statutory Declaration of Beverley Hore from V	ictoria, Australia (6/23/00)			
	AZ	Statutory Declaration of Kirsten Neilson from N	New South Wales, Australia (7/4/00)			
	AAA	Statutory Declaration of Kirsten Neilson from N	New South Wales, Australia (7/6/00)			
	ABB	Statutory Declaration of Gretchen Sleeman of New South Wales, Australia (7/7/00)				
	ACC	Statutory Declaration of Margaret Pratt of Victoria, Australia (7/10/00)				
	ADD	Statutory Declaration of Margaret Pratt of Victoria, Australia (7/10/00)				
	AEE	Statutory Declaration of Beverley Hore from Victoria, Australia (10/6/00)				
	AFF	Statutory Declaration of Bruce E. Loveland from	m Victoria, Australia (7/6/00)			
	AGG	Statutory Declaration of Richard G. Tearle (4/9	(01) including exhibits listed immediately below:			
		Exhibit RGT-1	Curriculum Vitae of Richard G. Tearle			
		Exhibit RGT-2	Copy of page of laboratory notebook of Richard Gordon Tearle dated 9/27/74			
	АНН		. (8/31/01) including exhibits listed immediately			
		below:	Brief CV of Dr. Allan Robins and selected			
		Exhibit AR-1	Publications			
		Exhibit AR-2	Confidentiality Agreement			
		Exhibit AR-3	Overcoming Hyperacute Rejection			
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Substitute Disclosure Form (PTO-1449)

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Substitute Form PTO 1479 (Modified A TRADEN)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 06868-005002	Application No. 908/984,900	
	closure Statement oplicant	Applicant Anthony J.F. D'Apice	et al.	-
(Use several sh	eets if necessary)	Filing Date	Group Art Unit	3
(37 CFR §1.98(b))		December 4, 1997	1633	

Examiner	Desig.	Dodinonto (mora-	de Author, Title, Date, and Place of Publication		
Initial	ID ID		Document		
midai		Exhibit AR-4	Letter of Intent dated 6/24/93		
	 	Statutory Declaration	of Dr. Anthony J. F. D'Apice (11/2/01) including exhibits listed immediately		
		below:			
		AJFD-1	Curriculum Vitae of Anthony D'Apice		
			Application to Baxter Healthcare Corp.		
		AJFD-2	Extramural Grant Program		
	AII	AJFD-3	Research Agreement		
		AJFD-4	Xenograft Project (6/13/91)		
		AJFD-5	Funding of Xenograft Project (11/13/92)		
		AJFD-6	Research Agreement		
		AJFD-7	Passport of Anthony J. F. D'Apice		
		Statutory Declaration	of Julie M. Wilkie from Victoria, Australia (7/5/00), with the exhibits		
		immediately below:			
			Letters from The Austin Research Institute to Dr John Smeaton, dated 20		
			JMW-1	April and 8 June 1993; Dr Smeaton's reply dated 16 June 1993	
			Programme and abstracts for the eleventh scientific meeting of the		
		JMW-2	Transplant Society of Australia and New Zealand, held in Canberra on 5-7		
			May 1993, front page, pgs. 1, 5, 7, 51 and 62		
		13.6317.4	Xenotransplantation held in Cambridge, England 26-29 September 1993		
		JMW-4	front pages, pages 8, 18,211 and letter from Congress organisations to		
			Mauro S. Sandrin August 1993		
		JMW-8	Australian Patent No. 690100		
	AJJ	JMW-10	Provisional Patent Application PL7854 filed March 16, 1993		
	An	JMW-13	Australian 15445/95 (Bresagen parent application)		
		JMW-14	Australian-B 695373 (15445/95) – (Bresagen parent application)		
			Prosecution documents of Au-A 77428/98 comprising Examiner's first		
		JMW-15	report of October 1, 1997 and second report of May 8, 1998, responses		
		JNI W-15	thereto dated April 20 and June 20, 1998, request to amend and proposed		
			amendments		
			Prosecution documents of AU-A 77428/98 comprising Examiner's first		
		JMW-16	report of April 12, 1999, response thereto dated July 30, 1999, request to		
			amend and proposed amendments		
		JMW-29	B. Hogan, F. Constantini E. Lacy, "Manipulating the mouse embryo – a		
	*	J141 44 - 22 y	laboratory manual', Cold Spring Harbour Laboratory, 1986, front pages		
		JMW-36	Certificate of the Registration of a Company showing that Bresatec Ltd.		
		1	changed its name to Bresagen Ltd		
		Statutory Declaration	of Julie M. Wilkie, Patent Attorney at Callinan Lawrie, Victoria, Australia		
	AKK		chibits immediately listed below:		
	Aick	Exhibit JMW-39	McKenzie et al. (1968) The Lancet pp 386-38'		
		Exhibit JMW-40	Galili et al. (1984) J. Exp. Med. Vol. 160		

Examiner Signature .	Date Considered
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EXAMINER: Initials citation considered. Draw line through citation if n	ot in conformance and not considered. Include copy of this form with
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U.S. Department of Patent and Trade

Information Disclosure Statement

U.S. Department of Commerce Patent and Trademark Office

Attorney's Docket No. 06868-005002

Application No. 08/984,900

by Applicant (Use several sheets if necessary)

Applicant Anthony J.F. D'Apice et al.

Filing Date

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1633

(37 CFR §1.98(b))

December 4, 1997

(Other D	ocuments (includ	e Author, Title, Dat	e, and Place of Publication)		
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Initial	ID	Document				
	į			Attorney at Callinan Lawrie, Victoria, Australia		
	1			eplacement Exhibits for Exhibits JMW-11, JMW-		
	: !	14 and JMW-34) listed		GL LL 1001 (CD		
	ALL	JMW-41	-	filed January 1994 ("Bresagen priority document 1)		
		JMW-42		5) – ("Bresagen parent patent")		
		JMW-43	D. Cosgrove et al. (199 66:1051-1066	01) "Mice lacking MHC Class II Molecules", Cell		
	AMM	Statutory Declaration o	f Ian Farquar Campbell M	cKenzie of Victoria, Australia (7/27/00)		
	ANN	Statement of Grounds of	Statement of Grounds of Opposition and Particulars Relating to Each Ground (4/7/00)			
	AOO	Application for an Exte	Application for an Extension of Time for lodging Evidence-in-Support (10/9/00)			
	APP	Application for an Exte	ension of Time for lodging	Evidence-in-Support (7/7/00)		
	AQQ	Statutory Declaration of John Cox on 1/8/02				
	ARR	Statutory Declaration o below:	cins on (1/11/02) with exhibit 1 listed immediately			
		Exhibit RCA-1		Curriculum Vitae of Robert Charles Atkins		
			f Associate Professor Emr	na Whitelaw (4/4/02) with exhibit 1 listed		
	ASS	immediately below:				
		Exhibit EW-1		Curriculum Vitae of Emma Whitelaw		
		Statutory Declaration o	f Robynne Lyndsay Sande	ers (4/2/02) with exhibits listed immediately below:		
	ATT	Exhibit RLS-1		Application to Baxter Healthcare Corporation		
				Extramural Grant Program		
41	1 111	Exhibit RLS-2	,	Research Agreement		
		Exhibit RLS-3		Research Agreement		
·		Exhibit RLS-4		PNAS Online		

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